

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 02-415-A1)

#6  
with  
Surcharge

In the Application of:	)	
	)	
Maillard, et al.	)	Before the Examiner:
	)	
Serial No. 10/517,981	)	
	)	Group Art Unit: Not Assigned
Filed: December 13, 2004	)	
	)	
For: Methods of Treating Alzheimer's	)	Confirmation No.: 3761
Disease Using Aromatically Substituted	)	
$\omega$ -Amino-Alkanoic Acid Amides and	)	
Alkanoic Acid Diamides	)	

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS  
MAILED JUNE 16, 2005

Mail Stop: PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Responsive to the Notification of Missing Requirements of Application, mailed June 16, 2005, Applicants submit herewith executed copies of their Declaration, pursuant to 37 C.F.R. §§1.63 and 1.68, and a substitute sequence listing and compliance statement in compliance with 37 CFR § 1.821-1.825.

Please apply \$130 of the enclosed check toward the oath or declaration surcharge as set forth in 37 C.F.R. §1.16(l).

Respectfully submitted,  
McDonnell Boehnen Hulbert & Berghoff LLP

Date: 7-11-05

By: Sherri L. Oslick  
Sherri L. Oslick, Ph.D.  
Registration No. 52,087

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

(Case No. 02-415-A1)

JC04 Mc'd PCT/PTO 14 JUL 2005

In the Application of:

Maillard, et al.

Serial No.: 10/517,981

Filed: December 13, 2004

For: Methods of Treating Alzheimer's Disease  
Using Aromatically Substituted  $\omega$ -Amino-  
Alkonoic Acid Amides and Alkonoic Acid  
Diamides

Before the Examiner:

Confirmation No. 3761

Art Unit: Not Assigned

Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

TRANSMITTAL LETTER

In regard to the above identified application:

1. We are transmitting herewith the attached:

- ☒ Response to Notification of Missing Requirements (1 sheet);
- ☒ Copy of Notice of Missing requirements mailed June 16, 2005 (2 sheets);
- ☒ Second (2<sup>nd</sup>) Preliminary Amendment ( 3 sheets);
- ☒ Substitute Sequence Listing in paper and Computer Readable Format (4 sheets, 1 diskette);
- ☒ Sequence Listing Compliance Statement (1 sheet);
- ☒ Executed Declaration and Power of Attorney (2 sheets);
- ☒ Return Receipt Postcard

2. With respect to additional fees:

- ☒ Attached is a check in the amount of \$130.00 pursuant to 37 C.F.R. § 1.17(a).

3. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2490. A duplicate copy of this sheet is enclosed.

4. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the papers, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as "First Class Mail" in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 11<sup>th</sup> day of July, 2005.

07/20/2005 GFREY1 00000096 10517981

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130.00 OP

By :

Sherri L. Oslick

Sherri L. Oslick, Ph.D.

Registratin No. 52,087

Rec'd PCT/PTO 14 JUL 2005



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/517,981	Michel Maillard	02-415-A1

INTERNATIONAL APPLICATION NO.
PCT/US03/18283

I.A. FILING DATE	PRIORITY DATE
06/11/2003	06/11/2002

020306  
 MCDONNELL BOEHNNEN HULBERT & BERGHOFF LLP  
 300 S. WACKER DRIVE  
 32ND FLOOR  
 CHICAGO, IL 60606

CONFIRMATION NO. 3761  
 371 FORMALITIES LETTER  
 \*OC000000016259455\*  
 \*OC000000016259455\*

Date Mailed: 06/16/2005

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 12/13/2004
- Copy of the International Search Report filed on 12/13/2004
- Copy of IPE Report filed on 12/13/2004
- Preliminary Amendments filed on 12/13/2004
- Information Disclosure Statements filed on 12/13/2004
- Biochemical Sequence Listing filed on 12/13/2004
- U.S. Basic National Fees filed on 12/13/2004
- Priority Documents filed on 12/13/2004
- Specification filed on 12/13/2004
- Claims filed on 12/13/2004

DOCKETED

JUN 21 2005  
 DUE DATE: 8/16/05  
 BY: [Signature]

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

## SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Late oath or declaration Surcharge.

- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

**For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:**

- For Rules Interpretation, call (571) 272-0951
- For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.
- Send e-mail correspondence for Patentin Software Program Help @ [ebc@uspto.gov](mailto:ebc@uspto.gov)

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

BARBARA A CAMPBELL

Telephone: (703) 308-9140 EXT 217

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/517,981	PCT/US03/18283	02-415-A1